

FORM PTO/SB/08A/B (10-01)
Substitute for PTO-1449A/B

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number	47476/DMC/S965
Application Number	10/000,141
Filing Date	October 30, 2001
Applicant(s)	Bardia Pezeshki, et al.
Group Art Unit	2828
Examiner Name	James W. DAVIE

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - kind code ² . (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
SD		3,924,937	12-09-1975	Munroe et al.
		4,820,899	04-11-1989	Hikima et al.
		5,699,589	12-23-1997	Ripley et al.
		US 6,183,092 B1	02-06-2001	Troyer

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EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ . Kind Code ⁵ (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (✓)

OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
SD		International Search Report dated 01 November 2002 for International Application No. PCT/US01/45691 and mailed 06 January 2003 (3 pages)

EXAMINER SIGNATURE		DATE CONSIDERED	12/04/03
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U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
JD		5,289,485	02-22-1994	Mooradian
		5,784,183	07-21-1998	Aoki et al.
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EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (✓)
JD		EP 0924821 A1	06-23-1999	NEC Corporation	
JD		WO 95/13638	05-18-1995	International Business Machines Corporation	
JD		JP 11326707	11-26-1999	Matsushita Electric Ind. Co. Ltd.	Abstract
JD		JP 56060088	05-23-1981	NEC Corporation	Abstract Only
JD		JP 2002246699	08-30-2002	Hitachi Ltd.	Abstract Only

OTHER DOCUMENTS		
EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
JD		International Search Report dated 21 January 2003 for International Application No. PCT/US01/24969 and mailed 28 January 2003 (3 pages).

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JD		5,491,576	02-13-1996	Bergano
		5,959,750	09-28-1999	Eskildsen et al.
		5,993,544	11-30-1999	Knauss et al.
		US 6,227,724 B1	05-08-2001	Verdiell
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		US 6,483,969 B1	11-19-2002	Yap et al.
		US 6,516,017 B1	02-04-2003	Matsumoto
		US 6,522,793 B1	02-18-2003	Szilagyi et al.

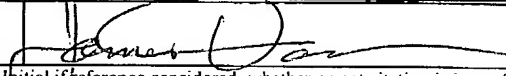
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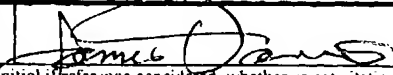
FORM PTO/SB/08A/B (10-2-99) Substitute for PTO-1449A/B INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)	Attorney Docket Number	47476/DMC/S965
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	Applicant(s)	Bardia Pezeshki, et al.
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EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
38		5,715,047	02-03-1998	Adamovsky
		5,784,507	07-21-1998	Holm-Kennedy et al.

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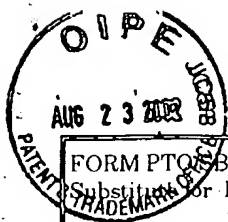
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Sheet 1 of 1



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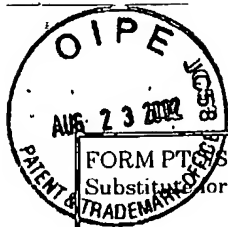
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Group Art Unit	2881
Examiner Name	To Be Assigned

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EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - kind code ² . (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
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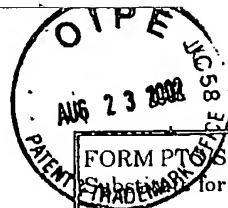
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[Handwritten marks: a large 'S' and a checkmark]		5,977,567	11-02-1999	Verdiell
		5,999,303	12-07-1999	Drake
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Group Art Unit 2881

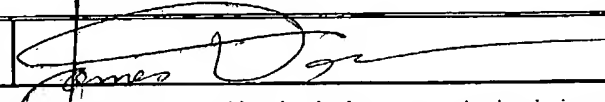
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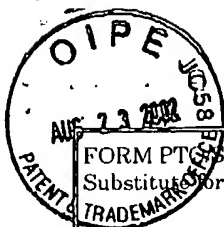
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		WO 02/37069 A1	05-10-2002	Santur Corporation	
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		WO 02/058197 A2	07-25-2002	Santur Corporation	

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		Dellunde, Jaume, "Laser diodes", http://www.geocities.com/jdellund/receng.htm , printed 08/08/2001 (4 pages).
		Hunter, D.K., et al., "Guided wave optical switch architectures. Part 1. Space switching", International Journal of Optoelectronics, Vol. 9, No. 6, 1994 (pages 477-487).
		Solgaard, O., et al., "Optoelectronic Packaging Using Silicon Surface-Micromachined Alignment Mirrors", IEEE Photonics Technology Letters, Vol. 7, No. 1, 1995 (pp. 41-43) (4 pages total).
		Li, G.P., et al., "16-Wavelength Gain-Coupled DFB Laser Array with Fine Tunability", IEEE Photonics Technology Letters, Vol. 8, No. 1, January 1996 (pages 22-24).
		Gordon, C., "Hybrid Mode-Locked DBR-laser", Multidisciplinary Optical Switching Technology Center, http://www.ece.ucsb.edu/MOST/33.html , (last updated 01-22-1996), printed 08/05/2001 (3 pages).

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Group Art Unit 2881

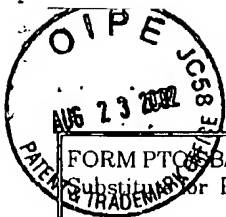
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		"(BW)(OH-MARCONI-COMM-2) Marconi Communications Announces World-Beating Commercial Tuneable Laser at Telecom '99", Press Release, http://www.businesswire.com/cgi-bin/ts_headline.sh?bw.101199/192842212 , October 11, 1999, printed 10/17/2000 (2 pages).
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		Liu, F., et al., "Cost-effective wavelength selectable light source using DFB fibre laser array", Electronics Letters, Vol. 36, No. 7, 30 March 2000, pages 620-621, (3 pages).

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		Hunwicks, A., "Advancing the Optical Component", http://www.telecoms-mag.com/issues/200004/tci/advancing.html , April 2000, printed 10/17/2000 (6 pages).
		Pezeshki, B., et al., "12nm tunable WDM source using an integrated laser array", Electronics Letters, Vol. 36, No. 9, 27 April 2000 (pages 788-789).
		Howe, P., "Light fantastic", digitalMASS at Boston.com, http://digitalmass.boston.com/news/daily/05/22/light_fantastic.html , printed 10/17/2000 (5 pages).
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